



# UC SANTA CRUZ

## Gifts of Stocks and Mutual Funds Instructions

Thank you for considering a gift of stocks (or other securities) or mutual funds to benefit UC Santa Cruz. Please complete and send this page to your broker and also send to our representative as outlined below:

### FOR GIFTS OF SECURITIES (see next page for mutual funds instructions):

1. To your broker: \_\_\_\_\_

Please direct the following stock gift on my behalf to **State Street Bank & Trust Co**

Date: \_\_\_\_\_

Stock: \_\_\_\_\_

# of Shares: \_\_\_\_\_

Gift Designation: \_\_\_\_\_

Donor Name \_\_\_\_\_

Donor Signature \_\_\_\_\_

The stock may be delivered by DEPOSITORY TRUST (DTC) for deposit in the following account:

Bank:	State Street Bank & Trust Co
DTC Participant Number:	0997
Agent Bank Number:	26022
Institutional FINS Number:	35710
Account Number:	QP10
Account Name:	Regents of UC
Campus Name:	Santa Cruz

2. Please fax these instructions to **Floyd Gazaway** at (510) 987-9685 or call at (510) 987-9684 prior to initiating transfer:

Floyd Gazaway, Accountant  
University of California, Office of the Chief Investment Officer  
1111 Broadway Suite 1400  
Oakland, CA 94607-9828  
Tel: 510-987-9684 Fax: 510-987-9685 Email: [Floyd.Gazaway@ucop.edu](mailto:Floyd.Gazaway@ucop.edu)

3. **Important:** Please also call, fax, or email us to let us know that the transaction is in process so we know to retrieve the funds from the Office of the President. Call Riley Jordan at (831) 459-1908 or fax at (831) 459-2406 or email: [giftadmin@ucsc.edu](mailto:giftadmin@ucsc.edu)

Riley Jordan, Gift Administration Manager  
University of California, Santa Cruz  
Mailstop: University Relations  
1156 High Street  
Santa Cruz, CA 95064



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Tel: 831-459-1908 Fax: 831-459-2406 Email: [giftadmin@ucsc.edu](mailto:giftadmin@ucsc.edu)

## FOR GIFTS OF MUTUAL FUNDS:

To ensure acceptance of MUTUAL FUNDS, please route the transfer to:

**Charles Schwab** account # **6776-4157**

Please contact Floyd Gazaway [Floyd.Gazaway@ucop.edu](mailto:Floyd.Gazaway@ucop.edu) or Amit Chu [Amit.Chu@ucop.edu](mailto:Amit.Chu@ucop.edu) prior to initiating transfer.

1. To your broker: \_\_\_\_\_

Please direct the following mutual funds gift on my behalf to Charles Schwab account # 6776-4157

Date: \_\_\_\_\_

Stock: \_\_\_\_\_

# of Shares: \_\_\_\_\_

Gift Designation: \_\_\_\_\_

Donor Name \_\_\_\_\_

Donor Signature \_\_\_\_\_

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