Gifts of Stocks and Mutual Funds Instructions

Thank you for considering a gift of stocks (or other securities) or mutual funds to benefit UC Santa Cruz. Please complete and send this page to your broker and also send to our representative as outlined below:

FOR GIFTS OF SECURITIES (see next page for mutual funds instructions):

1. To your broker: __________________________________________________

Please direct the following stock gift on my behalf to State Street Bank & Trust Co

   Date: __________________________________________________________
   Stock: _______________________________________________________
   # of Shares: __________________________________________________
   Gift Designation: _______________________________________________
   Donor Name ___________________________________________________
   Donor Signature ______________________________________________

The stock may be delivered by DEPOSITORY TRUST (DTC) for deposit in the following account:

   Bank: State Street Bank & Trust Co
   DTC Participant Number: 0997
   Agent Bank Number: 26022
   Institutional FINS Number: 35710
   Account Number: QP10
   Account Name: Regents of UC
   Campus Name: Santa Cruz

2. Please fax these instructions to Floyd Gazaway at (510) 987-9685 or call at (510) 987-9684 prior to initiating transfer:

   Floyd Gazaway, Accountant
   University of California, Office of the Chief Investment Officer
   1111 Broadway Suite 1400
   Oakland, CA 94607-9828
   Tel: 510-987-9684 Fax: 510-987-9685 Email: Floyd.Gazaway@ucop.edu

3. Important: Please also call, fax, or email us to let us know that the transaction is in process so we know to retrieve the funds from the Office of the President. Call Riley Jordan at (831) 459-1908 or fax at (831) 459-2406 or email: giftadmin@ucsc.edu

   Riley Jordan, Gift Administration Manager
   University of California, Santa Cruz
   Mailstop: University Relations
   1156 High Street
   Santa Cruz, CA 95064

Updated 12/04/15
FOR GIFTS OF MUTUAL FUNDS:
To ensure acceptance of MUTUAL FUNDS, please route the transfer to:

Charles Schwab account # 6776-4157

Please contact Floyd Gazaway Floyd.Gazaway@ucop.edu or Amit Chu Amit.Chu@ucop.edu prior to initiating transfer.

1. To your broker: __________________________________________________

Please direct the following mutual funds gift on my behalf to Charles Schwab account # 6776-4157

Date: __________________________________________________________

Stock: _______________________________________________________

# of Shares: __________________________________________________

Gift Designation: ______________________________________________

Donor Name __________________________________________________

Donor Signature ______________________________________________

Please also call, fax, or email us to let us know that the transaction is in process so we know to retrieve the funds from the Office of the President: call Riley Jordan at (831) 459-1908 or fax at (831) 459-2406 or email giftadmin@ucsc.edu

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